

# Group Actions

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## 1 Introduction

Given a set  $X$  we say that a group  $G$  acts on  $X$  if we can think of the elements of  $G$  as being permutations on  $X$ . So, given  $g \in G$  and  $x \in X$  we can consider  $y = g(x)$ . We say that “ $g$  sends the element  $x$  to the element  $y$ ”. To truly be an action we need the following two properties to hold:

1. If  $e \in G$  denotes the identity element, then for every  $x \in X$  we have that  $e(x) = x$ ; that is,  $e$  fixes all the elements of  $X$ .
2. If  $g, h \in G$ , then the action  $(gh)(x) = g(h(x))$ .

It is a little bit subtle why Property 2 might be useful for applications: What property 2 is saying is that if you could factor an element  $f \in G$  as  $f = gh$ , then the action of  $f$  on  $X$  is the same as the action of  $h$ , followed by  $g$ .

The fact that you can factor an action like this is exactly what makes simple second-order differential equations easy to solve. Let me explain: Suppose you wanted to solve the homogeneous differential equation

$$\frac{d^2y}{dx^2} + 3\frac{dy}{dx} - y = 0.$$

One way you can do this is to re-express the left-hand-side in terms of a “differential operator” as

$$\left( \frac{d^2}{dx^2} + 3\frac{d}{dx} - 1 \right) y = 0.$$

(The expression between parenthesis is the differential operator.) Now, just like with group actions, you can factor this operator into two pieces as

$$\left(\frac{d}{dx} + \theta\right) \left(\frac{d}{dx} + \theta'\right) y = \left(\frac{d}{dx} + \theta\right) \left[\left(\frac{d}{dx} + \theta'\right) y\right] = 0, \quad (1)$$

where

$$\theta = \frac{-3 + \sqrt{13}}{2}, \quad \theta' = \frac{-3 - \sqrt{13}}{2}.$$

If you just let

$$\psi(x) = \left(\frac{d}{dx} + \theta'\right) y = \frac{dy}{dx} + \theta' y, \quad (2)$$

which is the inner expression in (1), then you just need to solve

$$0 = \left(\frac{d}{dx} + \theta\right) \psi(x) = \frac{d\psi}{dx} + \theta \psi.$$

Well, this is easy to solve by separation of variables: You just get

$$\psi(x) = \kappa e^{-\theta x}.$$

So, putting this into (2) you see that you have reduced the second-order differential equation to the first order equation

$$\kappa e^{-\theta x} = \frac{dy}{dx} + \theta' y,$$

and there are simple methods (integrating factors) to solve such equations.

**Caution.** Differential operators are *not* group actions, although they and group actions do have some similarities.

## 2 Homomorphism Definition and an Example

Here we give a more abstract definition of group actions, one that is more natural from the point of view of algebra: Given a group  $G$  and a set  $X$ , we say that  $G$  acts on  $X$  if there is a homomorphism

$$\varphi : G \rightarrow S_X,$$

where  $S_X$  denotes the set of permutations on the set  $X$ .

Notice here the the second property of an action as defined in the previous section is essentially the homomorphism property of  $\varphi$ .

**Example.** Suppose that  $X = \{1, 2, 3\}$ , which corresponds to the vertices of a triangle; and, suppose that  $G$  is the group  $D_3$ . We know that  $D_3$  acts on the vertices 1, 2, 3 of some triangle; and so, if we were to let  $\varphi$  denote this correspondance between  $G$  and these permutations on the labels 1, 2, 3, we will have

$$\begin{aligned}\varphi(e) &= \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix} \\ \varphi(R) &= \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix} \\ \varphi(R^2) &= \begin{pmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} \\ \varphi(F) &= \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} \\ \varphi(FR) &= \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix} \\ \varphi(FR^2) &= \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix},\end{aligned}$$

where  $F$  and  $R$  have their usual meaning.

**Note.** Here I thought of the action of the group on the vertices a little differently than I have in class in the past. Here, the actions work from right-to-left; so, the action  $FR$  means that you first rotate, and then perform a flip. The reason for switching the order of operation here is so that  $\varphi$  preserves sturcture as

$$\varphi(gh) = \varphi(g) \circ \varphi(h),$$

not

$$\varphi(gh) = \varphi(h) \circ \varphi(g).$$

### 3 Orbit-Stabilizer Theorem

Given an element  $x \in X$ , look at all the places that elements of  $G$  sends  $x$  under the action of  $G$  on  $X$ . This is called the orbit of  $x$ , and is denoted  $\text{orbit}(x)$ .

For example, suppose that we have a triangle, and we consider the action of the group  $H = \{e, F\}$  on that triangle. Note that  $H$  is a subgroup of  $D_3$  consisting of just one flip through the vertical axis passing through the top point of our equilateral triangle. Certainly, if  $D_3$  acts on the labels of the vertices of the triangle, then if we restrict to this subgroup  $H$ , we also get an action of  $H$  on  $X$ .<sup>1</sup>

We have  $X = \{1, 2, 3\}$ , the labels. Now suppose you take  $x = 3$ , the label of the lower right vertex in the initial configuration (before we apply group actions to the triangle).  $H$  either sends 3 to 2, or it fixes the label 3. So, we have that the orbit of 3 is  $\{2, 3\}$ . Also, the orbit of 2 is  $\{2, 3\}$ , and the orbit of 1 is  $\{1\}$ , because the flip  $F$  fixes the label of the top vertex.

Another structure associated with an element  $x \in X$  is the stabilizer of  $x$ , denoted  $\text{stab}(x)$ . The stabilizer is the set of all  $g \in G$  that fix our element  $x$ ; that is,

$$\text{stab}(x) = \{g \in G : g(x) = x\}.$$

**Note.** I had incorrectly stated in class that  $\text{stab}(x)$  is the same as the kernel of the map

$$\psi : G \rightarrow S_{\text{orbit}(x)}.$$

That is, you can think of  $G$  not just as acting on  $X$ , but acting on the orbit of  $x$ . The kernel of this map would be the set of all  $g \in G$  that fixes the *entire* orbit of  $x$ , not just  $x$  by itself. Thus, we certainly have  $\ker(\psi) < \text{stab}(x)$ , but these two groups are not equal!

The well-known Orbit-Stabilizer Theorem says the following:

**Theorem.** Suppose that a finite group  $G$  acts on a set  $X$ . Let  $x \in X$  be an arbitrary element. Then,

$$|G| = |\text{orbit}(x)| \cdot |\text{stab}(x)|.$$

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<sup>1</sup>This is a basic fact that I have not mentioned yet in my lectures: If you have a homomorphism  $\varphi : G \rightarrow G'$ , then you can restrict  $\varphi$  to a subgroup  $H < G$ , to produce another homomorphism  $\varphi' : H \rightarrow G'$ .

The proof is to look at the action of the cosets of  $H = \text{stab}(x)$  on  $x$ . Say all the cosets of  $H$  in  $G$  are  $a_1H, a_2H, \dots, a_tH$ . Then, if  $g \in a_iH$ , we will have that  $g = a_ih$  for some  $h \in H$ , and

$$g(x) = (a_ih)(x) = a_i(h(x)) = a_i(x).$$

Thus, every element of  $a_iH$  sends  $x$  to the same place, namely  $a_i(x)$ .

If we also had that different cosets sent  $x$  to different places, then we would have that the cosets of  $H$  are in one-to-one correspondance with the orbit of  $x$ . Let us see that this is the case: Say we had that  $a_i(x) = a_j(x)$ . Then, we would have that  $(a_j^{-1}a_i)(x) = x$ . The reason that we can shift the  $a_j$  to the other side like that is that we are thinking of elements of  $G$  as being bijections from the orbit of  $x$  to itself; and, bijections are invertible.

Now, we conclude that  $a_j^{-1}a_i \in \text{stab}(x) = H$ , which means that  $a_i \in a_jH$ . So,  $a_iH$  and  $a_jH$  are not disjoint. Therefore, we conclude that have to be the same coset; that is,  $a_iH = a_jH$  (because cosets are distinct if and only if they are disjoint).

Thus, different cosets of  $H$  send  $x$  to different places, and we therefore conclude that the number of different cosets of  $H$  is the size of the orbit of  $x$ . Since the number of cosets of  $H$  is  $|G|/|H|$  we conclude

$$\frac{|G|}{|H|} = |\text{orbit}(x)|,$$

which proves the orbit-stabilizer theorem on multiplying through by  $|H|$ .